2021

# Design and integration of 1D and 2D diffractive beam splitters (multi-spot) into optical systems in sequential and non-sequential mode of ZEMAX™

TUTORIAL

HOLO/OR

# Contents







Tel +972-8-940-9687
Fax +972-8-940-9606
www.holoor.com
holoor@holoor.co.il
Einstein 13B, Science Park Ness Tziona 7403617

# 1. Introduction

## 1.1. Preliminary reading

### 1.1.1. HOLO/OR's application note for Diffractive Beam Splitters

- http://holoor.co.il/Diffractive_optics_Applications/Application_Notes_BeamSplitters.htm

### 1.1.2. Articles about definition of diffractive functionality in ZEMAX

- How diffractive surfaces are modeled in OpticStudio
- How to model diffractive optics using the Binary 2 surface

### 1.1.3. ZEMAX user manual - Diffractive Grating surface

## 1.2. Definition of Diffraction Grating Surface in ZEMAX™

### 1.2.1. Diffraction grating surfaces have two key parameters:

- Lines/µm (equivalent to grating period)
- Diffraction order

## 1.3. Calculation of Lines/µm

### 1.3.1. According to the Grating Equation:

$$\Lambda = \frac{m\lambda}{\sin\alpha}$$

Where:

- Λ: grating period
- m: order number (from Zero Order)
- α: separation angle (for example for m=1 means separation angle between Zero Order and Order +/- 1)
- λ: wavelength

$$Lines\ /\ \mu m = \frac{1}{\Lambda[\mu m]}$$

### 1.3.2. Example:

- λ = 532 nm





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- α = 0.1o (More information about separation angle for even and odd orders can be found here)
- Calculated Λ = 304.814 $\mu$m
- Lines/um = 1/ Λ = 1/ 304.814 = 0.0033 [Lines/$\mu$m]

1.3.3. Holo/Or's online grating calculator can be used:

- https://www.holoor.co.il/optical-calculator/gratings-optical-calculator/ (section "Gratings")

# 2. Three techniques to model diffractive beam splitters – two in sequential mode and one in non-sequential mode:

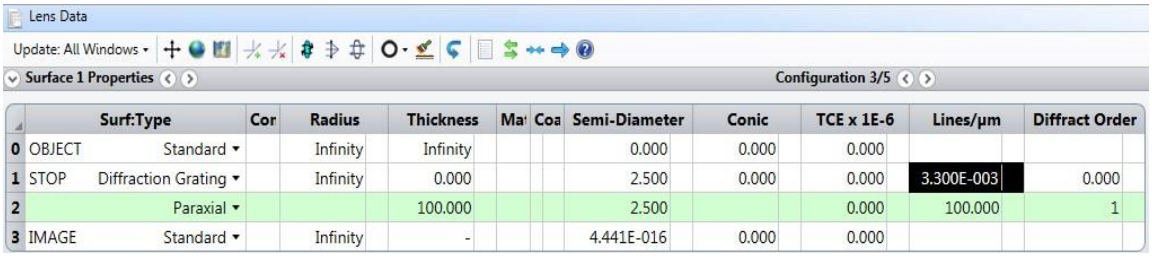
## 2.1. Sequential mode - Method A: Diffraction grating surface and multi-configuration

### 2.1.1. Development steps

- Inserting general parameters for simulation (wavelength, aperture, etc.)
- Inserting Diffraction Grating surface into Lens editor
  - Lines/$\mu$m (equivalent to grating period)
  - Diffraction order
- Definition of Multi-Configuration Editor

### 2.1.2. Example for 1D case of 5 spots splitter – diffraction orders - 2 to 2:


Lens data editor view:




### 2.1.3. Advantages of the method

- Realistic physical model (consistent with Diffraction Grating equation)
- Allows optimization of the optical system including diffractive beam splitter





Tel +972-8-940-9687
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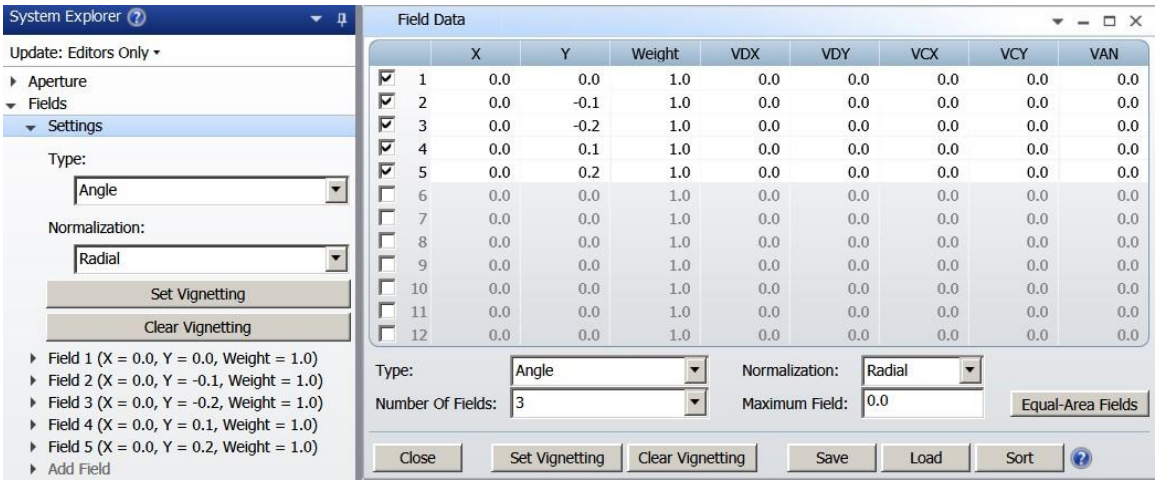
- Allows 2D beam splitter modeling

## 2.2. Sequential mode - Method B: Field's angle

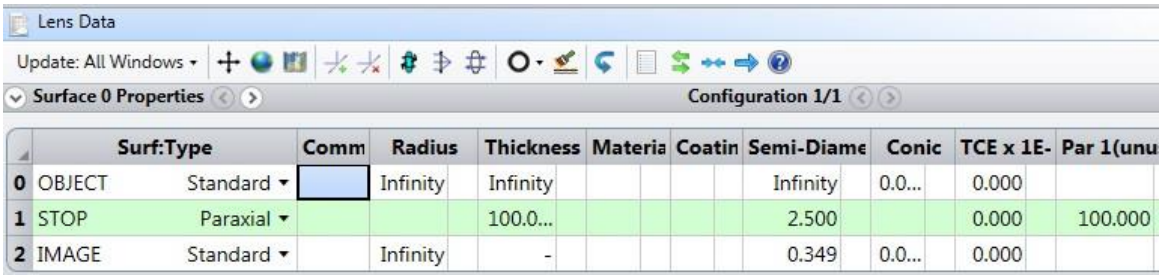
### 2.2.1. Development steps

- Entering Fields' angle in "System Explorer" (The field angles are equivalent to propagation angle of the Multi-Spot orders)

### 2.2.2. Example for defining a 5-spot beam splitter with separation angle of 0.1 degrees:




Lens data editor view:




- Object surface contains two functionalities – a source and a multi-spot. Distance from multi-spot and the following optical surfaces can be defined by adding distance between surface 0 and surface 1.

### 2.2.3. Advantages of the method

- Simplest way to build and analyze results
- Allows optimization of the optical system including diffractive beam splitter
- Allows 2D beam splitter modeling





Tel +972-8-940-9687
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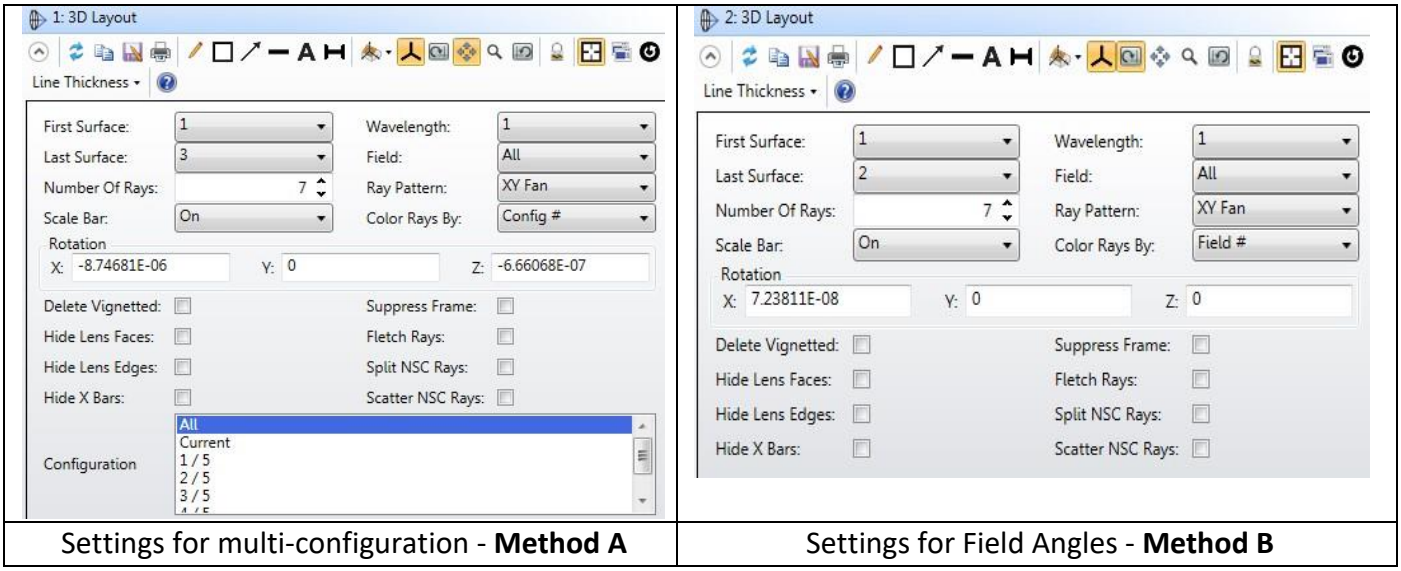
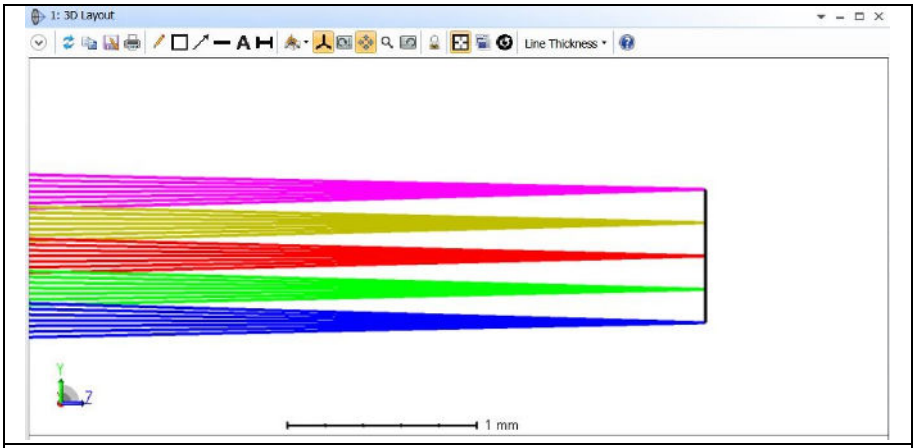
## 2.3. Sequential mode – displaying and analyzing results

### 2.3.1. 3D Layout diagram:



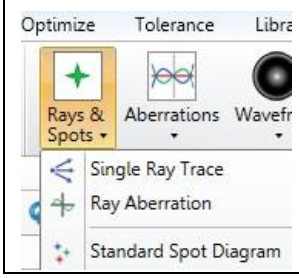
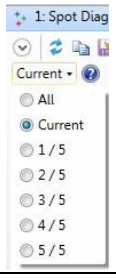
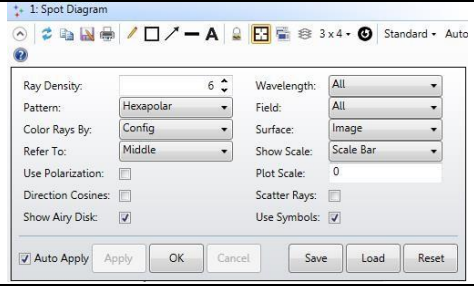

| Settings for multi-configuration - **Method A** | Settings for Field Angles - **Method B** |
|---|---|




Rays near focal position

### 2.3.2. Spot diagram

- Method A - Window's setting definition in 3 steps:

| Spot diagram in tools bar | Choosing "All" configurations in opened window | Settings of the window's example |
|---|---|---|
|  |  |  |







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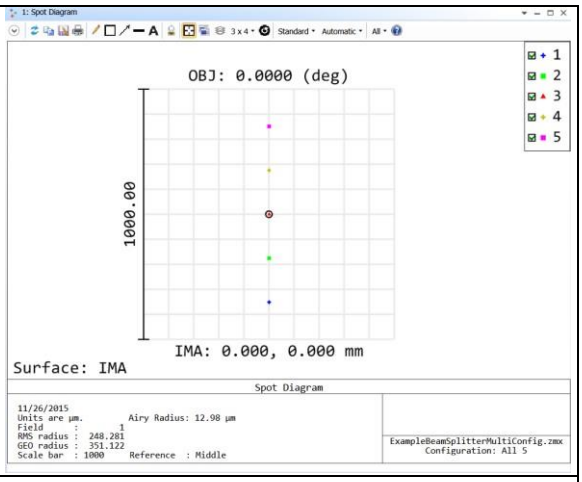
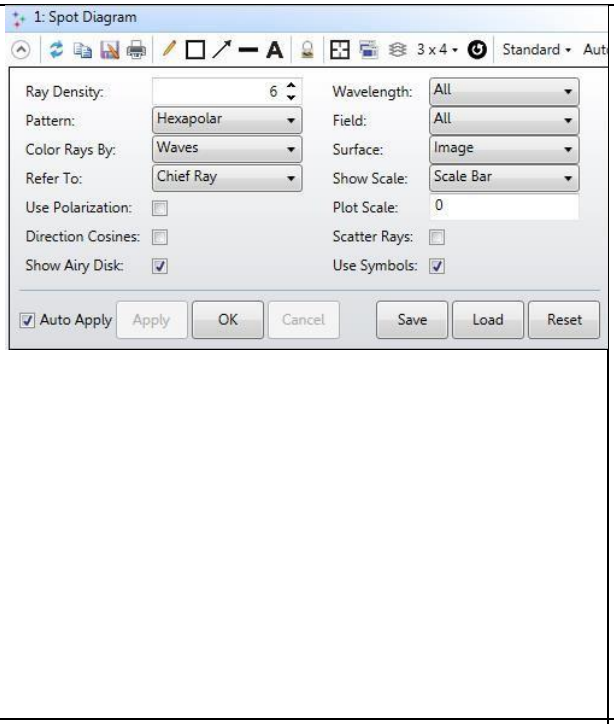
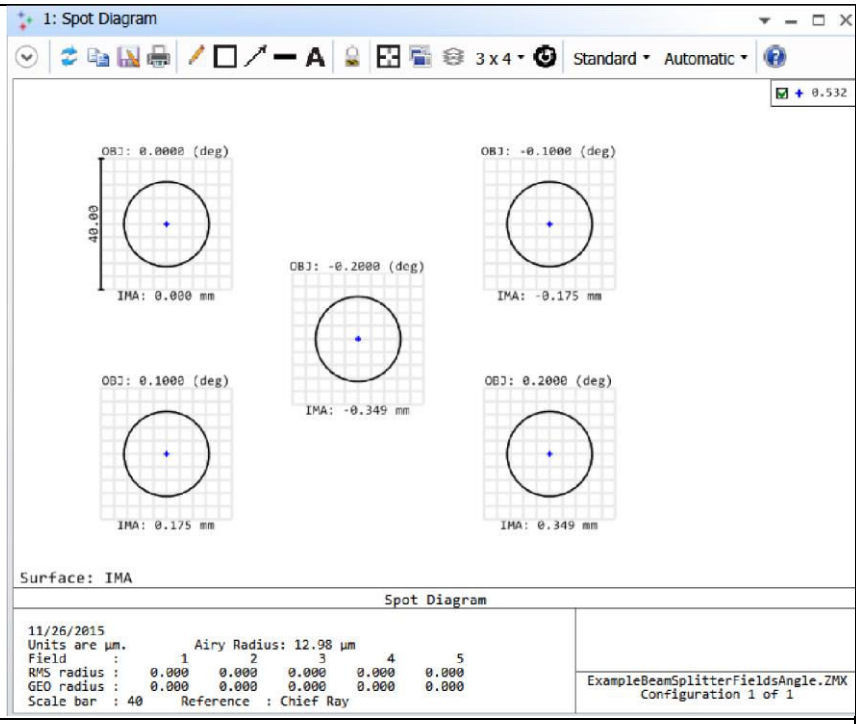



Spot diagram example

- Method B - Window's setting definition




Window's settings




Spot diagram example

## 2.4. Non-sequential mode – Method C: Diffraction Grating surface with special definitions

### 2.4.1. Development steps

- The design starts with the calculation of lines / µm value.
- Open a new file in NSC mode
- Insert Source surface Define general properties of the design (wavelength …)
- Number of "#Analysis Rays" and "# Layout Rays"





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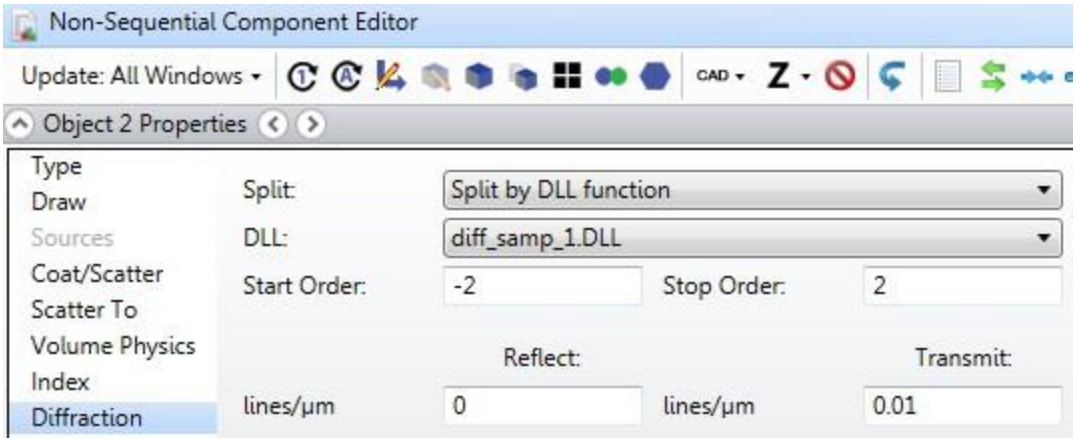
Non-Sequential Component Editor

Update: All Windows | Object 2 Properties | Configuration 1/1

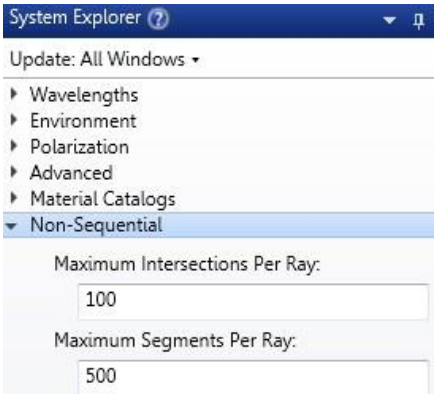
| | Object Type | Ref Object | X Position | Y Position | Z Position | Tilt About Z | Material | Radius 1 | Conic 1 | Clear 1 | Edge 1 | Thickness | Clear 2 | Edge 2 | Lines/µm | Diff Order |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | Source Gaussian | 0 | 0.000 | 0.000 | 0.000 | 0.000 | - | 500 | 10000 | 1.000 | 0 | 0 | | | | |
| 2 | Diffraction Grating | 0 | 0.000 | 0.000 | 10.000 | 0.000 | | 0.000 | 0.000 | 3.000 | 3.000 | 1.000 | 3.000 | 3.000 | 1.000E-002 | 0.000 |
| 3 | Diffraction Grating | 2 | 0.000 | 0.000 | 3.000 | 90.000 | | 0.000 | 0.000 | 1.000 | 3.000 | 1.000 | 3.000 | 3.000 | 1.000E-002 | 0.000 |
| 4 | Paraxial Lens | 3 | 0.000 | 0.000 | 4.000 | 0.000 | | 3.000 | 3.000 | 100.000 | 100.000 | | | | | |
| 5 | Detector Rectangle | 4 | 0.000 | 0.000 | 100.000 | 0.000 | | 5.000 | 5.000 | 100 | 100 | 0 | 0 | 0.000 | 0 | 0 |

- Insert Diffraction Grating surface
  - Define basic parameters for the element (material, thickness, clear aperture)
  - Insert Lines / $\mu$m parameter
  - Open properties of Diffraction grating surface and go to Diffraction property
  - In “Split” option choose “Split by DLL function” and then choose file diff_samp_1.DLL
  - Enter Start Order and Stop Order. For example, for 5 spots beam splitter -2 and 2
  - Insert period size in lines/µm units into reflection and transmission sections.




* For a 2D beam splitter another Diffraction Grating surface needs to be entered with a 90 degrees rotation around the optical axis (typically “tilt Z”).

** For large number of orders, some modification in general properties of the non-sequential mode might be required to get correct results. Increase Maximum Segments per Ray value while you will get efficient number for the specific case.





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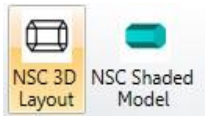
### 2.4.2. Advantages of the method

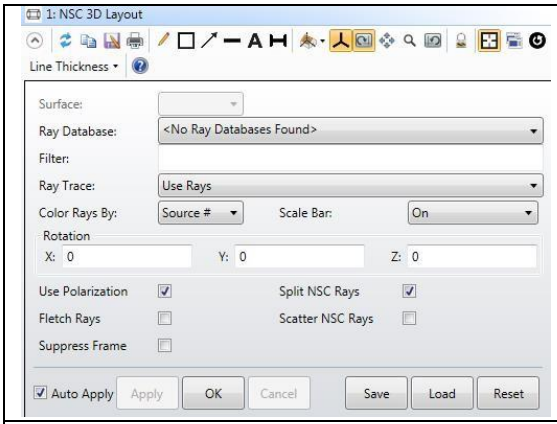
- More realistic physical model (chromatic behavior)
- Allows the modeling of all orders at once for a 2D beam splitter
- Enables integration of a diffractive element into any optical system
- Very useful for illumination systems

## 2.5. Non-sequential mode - displaying and analyzing results

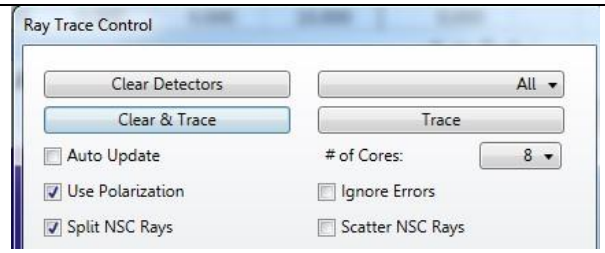
### 2.5.1. NSC 3D Layout and NSC Shaded Model:




- To see the diffraction orders, mark the option for "Split NSC Rays" in properties of 3D Layout and also for Ray Trace Control

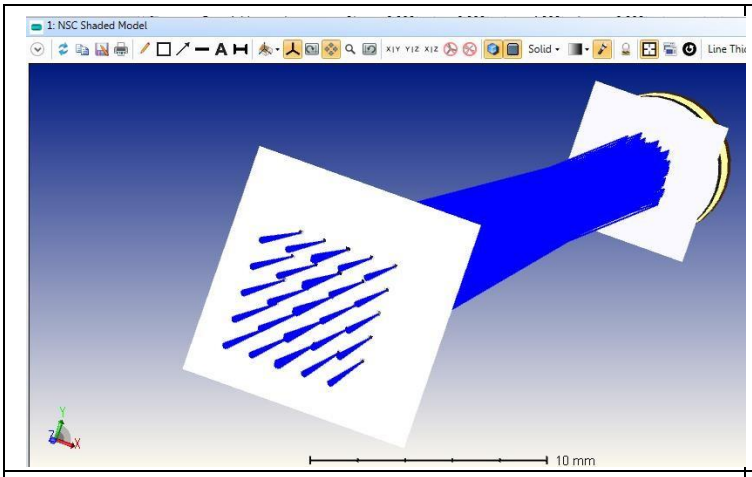



3D Layout and Shaded Model

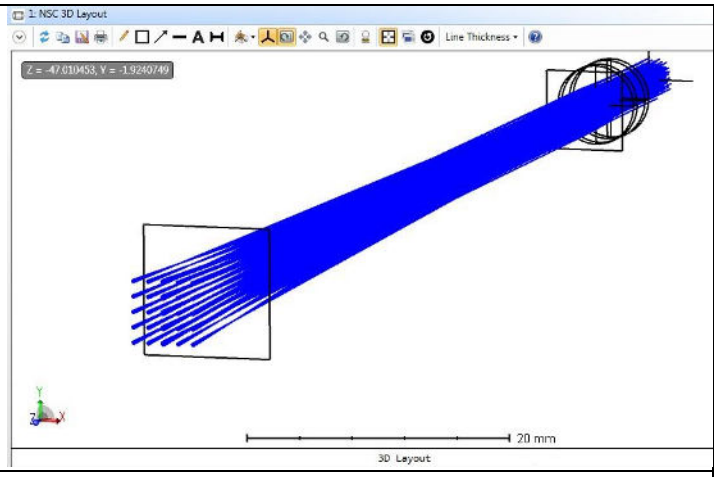



Ray Trace and Detector Viewer

- Example - results for beam splitter array 5x5




Shaded Model




3D Layout





Tel +972-8-940-9687
Fax +972-8-940-9606
www.holoor.com
holoor@holoor.co.il
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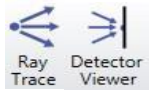
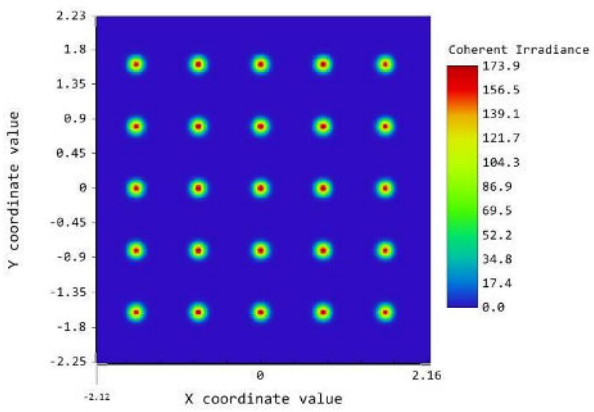
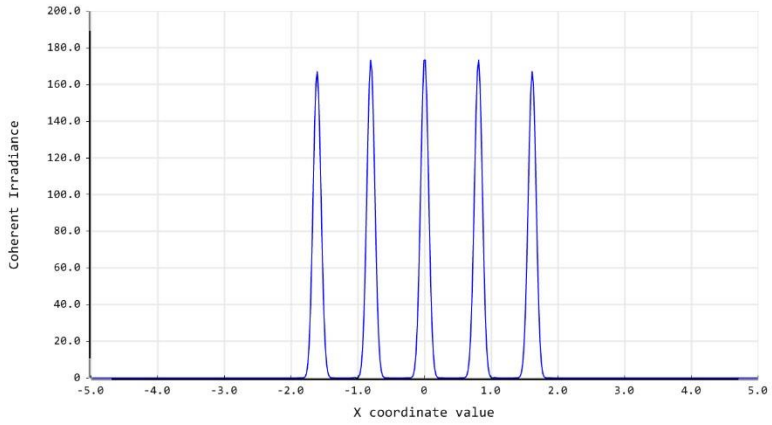
2.5.2. Ray Tracing Results




False color (simulated in some defocus)




Cross section

# 3. Methods comparison and summary

## 3.1. Comparison table for sequential and non-sequential models

| | Method A | Method B | Method C |
|---|---|---|---|
| **Ideal model** | Yes | Yes | Yes |
| **Geometrical method** | Yes | Yes | Yes |
| **Optimization of multi element optical system** | Natural | Requires adaptation per wavelength | Complex |
| **Aberration analysis** | Natural | Natural | Complex |
| **Simultaneous analysis of all spots** | No | No | Yes |

## 3.2. Summary

3.2.1. Three methods to model Diffractive Beam Splitter in ZEMAX were shown

3.2.2. The methods are based on geometrical concept and assume an ideal element

3.2.3. The Sequential mode based methods benefit optimization and design capability by using multi configuration or field angles

3.2.4. The Non-Sequential mode method brings more realistic result by allowing to propagate all spots at once

3.2.5. The methods allow integration and analysis of Diffractive Beam Splitter within different optical systems design





Tel +972-8-940-9687
Fax +972-8-940-9606
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# 4. Example files links and existing products from Holo/Or:

## 4.1. Files

- ExampleBeamSplitterMultiConfig
- ExampleBeamSplitterFieldsAngle
- MS-NS

## 4.2. Available products

http://holoor.co.il/Diffractive_Optics_Products/Diffractive_Beam_Splitters/BeamSplitter-multispot.htm





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